

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (Modified) (REV 5-93)		ATTORNEY'S DOCKET NUMBER 048662/0125
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. <small>if unknown, see 37 CFR 1.51</small> Unassigned 097601363
INTERNATIONAL APPLICATION NO. PCT/DE99/03554	INTERNATIONAL FILING DATE November 13, 1999	PRIORITY DATE CLAIMED December 02, 1998
TITLE OF INVENTION PORTABLE MICROPROCESSOR-ASSISTED DATA CARRIER THAT CAN BE USED WITH OR WITHOUT CONTACTS		
APPLICANT(S) FOR DO/EO/US Achim PIETIG		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern other document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: PROPOSED CHANGES TO THE DRAWINGS</p>		

534 Rec'd PCT/PTC 01 AUG2000

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) Unassigned		INTERNATIONAL APPLICATION NO. PCT/DE99/03554		ATTORNEY'S DOCKET NUMBER 048662/0125																																																																																																																																																													
17. <input checked="" type="checkbox"/> The following fees are submitted:																																																																																																																																																																	
<table border="1"> <tr> <td colspan="2">Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO</td> <td colspan="2">\$840.00</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">International preliminary examination fee paid to USPTO (37 CFR 1.482).....</td> <td colspan="2">\$670.00</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)</td> <td colspan="2">\$690.00</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td colspan="2">\$970.00</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</td> <td colspan="2">\$96.00</td> <td colspan="2"></td> </tr> <tr> <td colspan="4">ENTER APPROPRIATE BASIC FEE AMOUNT =</td> <td colspan="2">\$840.00</td> </tr> <tr> <td colspan="6">Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))</td> </tr> <tr> <td>Claims</td> <td>Number Filed</td> <td>Included in Basic Fee</td> <td>Extra Claims</td> <td></td> <td>Rate</td> </tr> <tr> <td>Total Claims</td> <td>12</td> <td>-</td> <td>20</td> <td>= 0</td> <td>\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>-</td> <td>3</td> <td>= 0</td> <td>\$78.00</td> </tr> <tr> <td colspan="4">Multiple dependent claim(s) (if applicable)</td> <td colspan="2">\$260.00</td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> <td colspan="2">\$840.00</td> </tr> <tr> <td colspan="6">Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> </tr> <tr> <td colspan="6">SUBTOTAL = \$840.00</td> </tr> <tr> <td colspan="6">Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)). +</td> </tr> <tr> <td colspan="6">TOTAL NATIONAL FEE = \$840.00</td> </tr> <tr> <td colspan="6">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> </tr> <tr> <td colspan="6">TOTAL FEES ENCLOSED = \$880.00</td> </tr> <tr> <td colspan="6"> <table border="1"> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>Amount to be: refunded</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>charged</td> <td>\$</td> </tr> </table> </td> </tr> <tr> <td colspan="6"> a. <input checked="" type="checkbox"/> A check in the amount of \$880.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed. </td> </tr> <tr> <td colspan="6">NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</td> </tr> <tr> <td colspan="6">SEND ALL CORRESPONDENCE TO:</td> </tr> <tr> <td colspan="6">  Foley & Lardner Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109 </td> </tr> <tr> <td colspan="6"> <p>SIGNATURE</p> <hr/> NAME WILLIAM T. ELLIS <hr/> REGISTRATION NUMBER 26,874 </td> </tr> </table>						Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO		\$840.00				International preliminary examination fee paid to USPTO (37 CFR 1.482).....		\$670.00				No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)		\$690.00				Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$970.00				International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)		\$96.00				ENTER APPROPRIATE BASIC FEE AMOUNT =				\$840.00		Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))						Claims	Number Filed	Included in Basic Fee	Extra Claims		Rate	Total Claims	12	-	20	= 0	\$18.00	Independent Claims	2	-	3	= 0	\$78.00	Multiple dependent claim(s) (if applicable)				\$260.00		TOTAL OF ABOVE CALCULATIONS =				\$840.00		Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).						SUBTOTAL = \$840.00						Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)). +						TOTAL NATIONAL FEE = \$840.00						Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						TOTAL FEES ENCLOSED = \$880.00						<table border="1"> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>Amount to be: refunded</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>charged</td> <td>\$</td> </tr> </table>										Amount to be: refunded	\$					charged	\$	a. <input checked="" type="checkbox"/> A check in the amount of \$880.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.						NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						SEND ALL CORRESPONDENCE TO:						 Foley & Lardner Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109						<p>SIGNATURE</p> <hr/> NAME WILLIAM T. ELLIS <hr/> REGISTRATION NUMBER 26,874					
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO		\$840.00																																																																																																																																																															
International preliminary examination fee paid to USPTO (37 CFR 1.482).....		\$670.00																																																																																																																																																															
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)		\$690.00																																																																																																																																																															
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$970.00																																																																																																																																																															
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)		\$96.00																																																																																																																																																															
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$840.00																																																																																																																																																													
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))																																																																																																																																																																	
Claims	Number Filed	Included in Basic Fee	Extra Claims		Rate																																																																																																																																																												
Total Claims	12	-	20	= 0	\$18.00																																																																																																																																																												
Independent Claims	2	-	3	= 0	\$78.00																																																																																																																																																												
Multiple dependent claim(s) (if applicable)				\$260.00																																																																																																																																																													
TOTAL OF ABOVE CALCULATIONS =				\$840.00																																																																																																																																																													
Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).																																																																																																																																																																	
SUBTOTAL = \$840.00																																																																																																																																																																	
Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)). +																																																																																																																																																																	
TOTAL NATIONAL FEE = \$840.00																																																																																																																																																																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +																																																																																																																																																																	
TOTAL FEES ENCLOSED = \$880.00																																																																																																																																																																	
<table border="1"> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>Amount to be: refunded</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"></td> <td>charged</td> <td>\$</td> </tr> </table>										Amount to be: refunded	\$					charged	\$																																																																																																																																																
				Amount to be: refunded	\$																																																																																																																																																												
				charged	\$																																																																																																																																																												
a. <input checked="" type="checkbox"/> A check in the amount of \$880.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.																																																																																																																																																																	
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																																																																																																																	
SEND ALL CORRESPONDENCE TO:																																																																																																																																																																	
 Foley & Lardner Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109																																																																																																																																																																	
<p>SIGNATURE</p> <hr/> NAME WILLIAM T. ELLIS <hr/> REGISTRATION NUMBER 26,874																																																																																																																																																																	

9/601363

Atty. Dkt. No. 048662/0125

534 Rec'd PCT/PTC 01 AUG 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Achim PIETIG

Title: PORTABLE MICROPROCESSOR-ASSISTED DATA CARRIER
THAT CAN BE USED WITH OR WITHOUT CONTACTS

Appl. No.: (unassigned)

Filing Date: Concurrently herewith

Examiner: (unassigned)

Art Unit: (unassigned)

PROPOSED CHANGES TO THE DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant proposes to amend Figure number(s) 1, 2, 3A and 3B as shown in red on the attached copies. With the Examiner's approval, the changes will be made to the formal drawings in due course.

Respectfully submitted,

By 

Date August 1, 2000

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109
Telephone: (202) 672-5485
Facsimile: (202) 672-5399

William T. Ellis
Attorney for Applicant
Registration No. 26,874

09/601363
534 Rec'd PCT/PTC 01 AUG 2000
Atty. Dkt. No. DOCKET NO. 048662/0125

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Achim PIETIG et al.

Title: PORTABLE MICROPROCESSOR-ASSISTED DATA CARRIER THAT CAN BE USED WITH OR WITHOUT CONTACTS

Appl. No.: PCT/DE99/03554

Filing Date: November 13, 1999

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, the below named translator, certify that I am familiar with both the German and the English languages, that I have prepared the attached English translation of PCT Application No. PCT/DE99/03554, and that the English translation is a true, faithful and exact translation of the corresponding German language paper.

I further declare that all statements made in this declaration of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of legal decisions of any nature based on them.

Respectfully submitted,

Date July 18, 2000

By MG Smith
For and on behalf of RWS Group Plc

Translator's Report/Comments

Your ref: 048662/0125

Your order of (date): July 11, 2000

In translating the above text we have noted the following apparent errors/unclear passages which we have corrected or amended:

Page/para/line*	Comment
6/-1/4	Delete "betrieben".

* This identification refers to the source text. Please note that the first paragraph is taken to be, where relevant, the end portion of a paragraph starting on the preceding page. Where the paragraph is stated, the line number relates to the particular paragraph. Where no paragraph is stated, the line number refers to the page margin line number.